

CÔNG TY CỔ PHẦN QUẢN LÝ QUỸ PHÚ HƯNG
PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY

Giấy phép thành lập và hoạt động số: 24/UBCK-GP do Ủy ban Chứng khoán Nhà nước cấp ngày 28 tháng 12 năm 2007; Giấy phép thành lập và hoạt động điều chỉnh số 98/GPĐC-UBCK do Ủy ban Chứng khoán Nhà nước cấp ngày 02 tháng 11 năm 2021.

License for Establishment and Operation No.: 24/UBCK-GP issued by State Securities Commission on December 28th, 2007; the amended License on Establishment and Operation No. 98/GPĐC-UBCK issued by the State Securities Commission of Vietnam on November 2nd, 2021.

THÔNG BÁO MỜI HỌP ĐẠI HỘI ĐỒNG CỔ ĐÔNG BẤT THƯỜNG LẦN 2 NĂM 2022
INVITATION TO THE 2022 2ND EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS

Kính gửi: Quý cổ đông Công ty Cổ phần Quản lý Quỹ Phú Hưng
To: Shareholders of Phu Hung Fund Management Joint Stock Company

Chủ tịch Hội đồng quản trị Công ty Cổ phần Quản lý Quỹ Phú Hưng ("**Công ty**") kính mời Ông/Bà tới dự cuộc họp Đại hội đồng cổ đông của Công ty.

*Chairman of Board of Directors of Phu Hung Fund Management Joint Stock Company (the "**Company**") hereby cordially invite you to the General Meeting of Shareholders of the Company.*

Thời gian họp: 10 giờ 00 phút, ngày 14/02/2022
Time: 10:00 AM, February 14th, 2022

Địa điểm họp: Phòng số 4, Tầng 21, Tòa nhà Phú Mỹ Hưng, Số 8 Đường Hoàng Văn Thái, Khu phố 1, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh
Venue: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City

Chúng tôi xin đính kèm theo thư mời này các tài liệu sau:
Attached are agenda and other documents for the Meeting:

- Phụ lục 1: Chương trình họp
Schedule 1: Meeting Agenda
- Phụ lục 2: Các tài liệu đề xuất phê chuẩn
Schedule 2: Submission Documents
- Phụ lục 3: Phiếu biểu quyết
Schedule 3: Voting Paper

CÔNG TY CỔ PHẦN QUẢN LÝ QUỸ PHÚ HƯNG
PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY



ALBERT KWANG-CHIN TING
CHỦ TỊCH / CHAIRMAN



**CHƯƠNG TRÌNH NGHỊ SỰ
ĐẠI HỘI ĐỒNG CỔ ĐÔNG BẤT THƯỜNG LẦN 2 NĂM 2022
AGENDA OF THE 2022 2ND EXTRAORDINARY GENERAL
MEETING OF SHAREHOLDERS**

Thời gian: 10 giờ 00 phút ngày 14/02/2022

Time: 10:00 AM, February 14th, 2022

Địa điểm: Phòng số 4, Tầng 21, Tòa nhà Phú Mỹ Hưng, Số 8 Đường Hoàng Văn Thái, Khu phố 1, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh

Venue: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City

I. Thủ tục khai mạc

Opening session

1. Báo cáo tỷ lệ cổ đông tham dự Đại hội
Report the number of attendances
2. Giới thiệu và thông qua Chủ tọa Đại hội, Ban thư ký và Ban kiểm phiếu
Introduce and pass the Meeting Chairperson, the Secretariat and Scrutiny Team
3. Khai mạc Đại hội
Meeting Opening
4. Thông qua Chương trình nghị sự
Pass the Agenda
5. Thông qua Thể lệ biểu quyết tại Đại hội
Pass the Voting guidelines at the Meeting

II. Nội dung Đại hội

Contents of the Meeting

1. Thông qua việc đầu tư trái phiếu Công ty Cổ phần Chứng khoán VNDIRECT
To pass the bond investment of VNDIRECT Securities Corporation

III. Hỏi đáp

Questions and answers

IV. Thông qua Biên bản họp và Nghị quyết Đại hội đồng cổ đông bất thường lần 2 năm 2022

Pass the Meeting Minutes and Resolution of the 2022 2nd Extraordinary General Meeting of Shareholders

Ho Chi Minh City, January 24th, 2022

SUBMISSION

Ref.: To pass the Voting guidelines at the Meeting

To: GENERAL MEETING OF SHAREHOLDERS OF PHU HUNG FUND
MANAGEMENT JOINT STOCK COMPANY

Pursuant to:

- Securities Law No. 54/2019/QH14 on November 26th, 2019;
- Enterprise Law No. 59/2020/QH14 on June 17th, 2020;
- Decree No. 155/2020/NĐ-CP on December 31st, 2020;
- Circular No. 99/2020/TT-BTC on November 16th, 2020;
- Charter of Phu Hung Fund Management Joint Stock Company (“PHFM”).

The 2022 2nd Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company is held at Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City. The Meeting is thereby convened in validation on February 14th, 2022.

A. BASIS FOR DRAFTING THE VOTING RULES:

I. Principle of voting:

Shareholders vote publicly at the Meeting. Voting paper for each issue is pre-printed using the standard form.

The basic contents of Voting paper include:

Company name, meeting date and venue, name and code of shareholder, number of voting shares, signature of shareholder. The Voting paper is red stamped by Phu Hung Fund Management Joint Stock Company in the left corner.

Voting method:

Shareholders select and mark (X) or (✓) to the box corresponding “Agree”, “Disagree”, or “Abstain” toward the voting subjects at the Meeting and sign with full name of shareholder.

II. Voting guidelines:

1. Guidelines:

Shareholders will vote to pass:

- The Meeting Chairperson, the Secretariat and Scrutiny Team;
- The Agenda;

- The Voting guidelines at the Meeting;
- The contents discussed at the Meeting.

Voting papers are collected by the Scrutiny Team right after the discussion of the final voting content is ended.

2. Valid vote:

- Vote which delivered by the Scrutiny Team of the Meeting;
- Vote which is marked according to the instructions and by pen, signed with full name of shareholder;
- Vote which is not erased;
- Vote which is selected only 01 out of 03 boxes corresponding "Agree", "Disagree", or "Abstain".

3. Invalid vote:

Voting papers that do not meet one of the conditions of the above-mentioned valid votes are invalid votes.

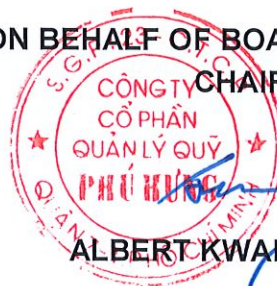
B. EFFECTIVENESS:

All voting contents are passed if the number of shareholders holding more than 50% of the total voting papers of all attending shareholders approved as prescribed in Point a, Clause 3, Article 36 of the Current Charter of Phu Hung Fund Management Joint Stock Company.

We hereby propose to the 2022 2nd Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company for consideration and approval.

Best regards.

**ON BEHALF OF BOARD OF DIRECTORS
CHAIRMAN**



ALBERT KWANG-CHIN TING

Ho Chi Minh City, January 24th, 2022

SUBMISSION

Ref.: To pass the bond investment of VNDIRECT Securities Corporation

**To: GENERAL MEETING OF SHAREHOLDERS OF PHU HUNG FUND
MANAGEMENT JOINT STOCK COMPANY**

Pursuant to:

- Securities Law No. 54/2019/QH14 on November 26th, 2019;
- Enterprise Law No. 59/2020/QH14 on June 17th, 2020;
- Decree No. 155/2020/NĐ-CP on December 31st, 2020;
- Circular No. 99/2020/TT-BTC on November 16th, 2020;
- Charter of Phu Hung Fund Management Joint Stock Company (“PHFM”).

The Board of Directors of Phu Hung Fund Management Joint Stock Company would like to submit to the General Meeting of Shareholders the following investment proposals for consideration and approval:

Investing in bonds of VNDIRECT Securities Corporation with the following details:

- Issuer : VNDIRECT Securities Corporation
- Bond name : Bonds of VNDIRECT Securities Corporation
- Bond type : Bonds are non-convertible, unwarranted, unsecured and establish a direct debt repayment obligation to the Issuer
- Bond ticker : VNDL2122006
- Bond par value : 100,000 (One hundred thousand) dong/bond
- Total number of bonds registered to buy : 200,000 (Two hundred thousand) bonds
- Total value of bonds registered to buy at par value : 20,000,000,000 (Twenty billion) dong
- Bond term : 01 (One) year, from the Issuance Date
- Bond interest rate : 8% (eight percent)/year
- Interest payment period : Interest paid later, every 12 (twelve) months from the Issuance Date

- Offering price : 100,000 (One hundred thousand) dong/bond
- Total value of bonds registered to buy at the offering price : 20,000,000,000 (Twenty billion) dong
- Distribution method : The Bonds are sold directly to investors by the Issuer
- Time to receive registration to buy and receive money to buy bonds : From February 14th, 2022 to March 7th, 2022
- Place to receive registration to buy bonds : At the Head Office, branches and transaction offices of VNDIRECT nationwide (detailed location information is posted on the Issuer's official website at <https://www.vndirect.com.vn/>)
- Blocked accounts to receive money to buy bonds :
 - Name of beneficiary: VNDIRECT Securities Corporation
 - Account number: 127000090032
 - At the Bank: Vietnam Joint Stock Commercial Bank for Industry and Trade - Ba Dinh Branch

We hereby propose to the 2022 2nd Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company to consider and pass the bond investment of VNDIRECT Securities Corporation.

Best regards.

ON BEHALF OF BOARD OF DIRECTORS
CHAIRMAN



ALBERT KWANG-CHIN TING

CỘNG HÒA XÃ HỘI CHỦ NGHĨA VIỆT NAM

Độc lập - Tự do - Hạnh phúc

*SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness*

**ĐẠI HỘI ĐỒNG CỔ ĐÔNG BẤT THƯỜNG LẦN 2 NĂM 2022
CÔNG TY CỔ PHẦN QUẢN LÝ QUỸ PHÚ HƯNG**

*THE 2022 2ND EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS
PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY*

**PHIẾU BIỂU QUYẾT
VOTING PAPER**

- Ngày họp/ *Meeting Date*: 14/02/2022
- Địa điểm họp: Phòng số 4, Tầng 21, Tòa nhà Phú Mỹ Hưng, Số 8 Đường Hoàng Văn Thái, Khu phố 1, Phường Tân Phú, Quận 7, Thành phố Hồ Chí Minh.
Venue: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City.
- Tên cổ đông/ *Name of shareholder*:
- Mã số cổ đông/ *Code of shareholder*:
- Số cổ phiếu có quyền biểu quyết: cổ phiếu
Number of voting shares: shares
- Cổ đông lựa chọn và đánh dấu (X) hoặc (✓) vào ô trống tương ứng “Tán thành”, “Không tán thành”, hoặc “Không có ý kiến” đối với từng vấn đề cần biểu quyết tại Đại hội.
Shareholders select and mark (X) or (✓) to the box corresponding “Agree”, “Disagree”, or “Abstain” toward the voting subjects at the Meeting.

Nội dung biểu quyết <i>Voting contents</i>	Tán thành <i>Agree</i>	Không tán thành <i>Disagree</i>	Không có ý kiến <i>Abstain</i>
1. Thông qua Danh sách Chủ tọa Đại hội, Ban thư ký và Ban kiểm phiếu <i>To pass the Meeting Chairperson, the Secretariat and Scrutiny Team</i>			
2. Thông qua Chương trình nghị sự <i>To pass the Agenda</i>			
3. Thông qua Thẻ lệ biểu quyết tại Đại hội <i>To pass the Voting guidelines at the Meeting</i>			

<p>4. Thông qua việc đầu tư trái phiếu Công ty Cổ phần Chứng khoán VNDIRECT <i>To pass the bond investment of VNDIRECT Securities Corporation</i></p>			
<p>5. Thông qua Biên bản họp và Nghị quyết Đại hội đồng cổ đông bất thường lần 1 năm 2022 <i>To pass the Meeting Minutes and Resolution of the 2022 1st Extraordinary General Meeting of Shareholders</i></p>			

CỔ ĐÔNG
SHAREHOLDER

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No.: 02/2022/BB-ĐHĐCĐ

Ho Chi Minh City, February 14th, 2022

MEETING MINUTES

THE 2022 2ND EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY

Meeting Minutes (the “**Minutes**”) of the 2022 2nd Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company (the “**Company**”) is made on February 14th, 2022. Details are as follows:

Company name: Phu Hung Fund Management Joint Stock Company (“PHFM”)

License for establishment and operation: License No. 24/UBCK-GP issued by SSC on December 28th, 2007;
Latest adjustment license No. 98/GPĐC-UBCK issued by SSC on November 2nd, 2021.

Head Office address: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City.

Meeting time: Starting at AM, February 14th, 2022
Closing at AM, February 14th, 2022

Venue: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City.

Agenda: According to the Agenda of the 2022 2nd Extraordinary General Meeting of Shareholders, which has been notified to all shareholders.

Meeting Chairperson: Mr. Albert Kwang-Chin Ting – Chairman of B.O.D. of PHFM

Meeting Secretariat: Ms. Pham Thi Thanh Ngan – Head of Secretariat

Scrutiny Team: Ms. Nguyen Hai Linh – Head of Scrutiny Team

Attendees:

- Mr. Albert Kwang-Chin Ting – Shareholder of PHFM
- Mr. Ting, Kwang Hung – Shareholder of PHFM
- Ms. Chen, Hsiao-Fan – Shareholder of PHFM

Number of shareholders present: shareholders, accounting for% of the voting shares; the General Meeting of Shareholders is thereby proceeded in compliance with the provisions of law.

I. MEETING OPENING PROCEDURE

1. Report on the result of shareholder status verification to attend the Meeting

Ms. Pham Thi Thanh Ngan reported on the result of shareholder status verification to attend the Meeting, specifically: Till AM, February 14th, 2022, total number of attending shareholders was shareholders, representing for shares, accounting for% of the voting shares, eligible to proceed the General Meeting of Shareholders in accordance with Decree 155/2020/NĐ-CP and Company Charter.

2. Pass the Meeting Chairperson, the Secretariat and Scrutiny Team

Ms. Pham Thi Thanh Ngan introduced the Meeting Chairperson, the Secretariat and Scrutiny Team, specifically as below:

- Meeting Chairperson: Mr. Albert Kwang-Chin Ting – Chairman of B.O.D. of PHFM
- Meeting Secretariat: Ms. Pham Thi Thanh Ngan – Head of Secretariat
- Scrutiny Team: Ms. Nguyen Hai Linh – Head of Scrutiny Team

The Meeting voted to pass the Meeting Chairperson, the Secretariat and Scrutiny Team with the approval ratio of%.

3. Mr. Albert Kwang-Chin Ting delivered the opening speech of the Meeting.

4. Pass the Agenda and the Voting guidelines at the Meeting

Mr. Albert Kwang-Chin Ting presented the Agenda and the Voting guidelines at the Meeting (*details as the attachment*).

The Meeting voted to pass the Agenda and the Voting guidelines at the Meeting with the approval ratio of%.

II. MEETING CONTENTS

Mr. Albert Kwang-Chin Ting chaired the Meeting and confirmed that the Meeting was duly convened in accordance with Decree 155/2020/NĐ-CP and Company Charter.

1. Mr. Albert Kwang-Chin Ting presented the Submission to pass the bond investment of VNDIRECT Securities Corporation.

2. Pass the bond investment of VNDIRECT Securities Corporation

Voting ratio:

- Agree:%
- Disagree:%
- Abstain:%

Conclusion: The 2022 2nd Extraordinary General Meeting of Shareholders passed the bond investment of VNDIRECT Securities Corporation with the approval ratio of%.

III. MEETING CLOSING PROCEDURE

Pass the Minutes and Resolution of the 2022 2nd Extraordinary General Meeting of Shareholders

Ms. Pham Thi Thanh Ngan delivered the Minutes and Resolution of the Meeting.

Voting ratio:

- Agree:%
- Disagree:%
- Abstain:%

Conclusion: With the approval ratio of%, the whole Meeting passed the Minutes and Resolution of the 2022 2nd Extraordinary General Meeting of Shareholders.

The 2022 2nd Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company closed at AM on the same day.

This Meeting Minutes is made in one (01) original in English and one (01) original in Vietnamese with the same legal validity and is filed at the Company Secretariat of the Head Office.

MEETING SECRETARY

MEETING CHAIRPERSON

PHAM THI THANH NGAN

ALBERT KWANG-CHIN TING

No.: 02/2022/NQ-ĐHĐCĐ

Ho Chi Minh City, February 14th, 2022

RESOLUTION

THE 2022 2ND EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY

- Pursuant to Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17th, 2020;
- Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26th, 2019;
- Pursuant to the Charter of Phu Hung Fund Management Joint Stock Company (PHFM);
- Pursuant to Meeting Minutes of the 2022 2nd Extraordinary General Meeting of Shareholders No. 02/2022/BB-ĐHĐCĐ dated on February 14th, 2022 of Phu Hung Fund Management Joint Stock Company.

RESOLVE

Article 1. To pass the bond investment of VNDIRECT Securities Corporation

The Meeting pass the bond investment of VNDIRECT Securities Corporation (Approval ratio: 100%).

Article 2. Organization and Implementation

The Board of Directors, Board of Supervisors, General Director, and all of the employees of Phu Hung Fund Management Joint Stock Company have the responsibility to implement this Resolution from the date of signing. This Resolution is effective from the signing date.

Recipients:

- As Article 2;
- Archived at CS.

**FOR AND ON BEHALF OF G.M.S.
MEETING CHAIRPERSON**

ALBERT KWANG-CHIN TING