

No.: 05./2022/CV-PHFM
Re: *Disclosing the contents passed by
the 2022 1st EGMS*

Ho Chi Minh City, January 20th, 2022

**INFORMATION DISCLOSURE ON WEBSITE OF
STATE SECURITIES COMMISSION**

To: STATE SECURITIES COMMISSION

Name: **PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY (PHFM)**

Headquarters: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Tan Phu Ward, District 7, Ho Chi Minh City

Phone: 028.5413.7991

Person to implement information disclosure: Mr. Lu, Hui-Hung

Type of information disclosure: 24 hours 72 hours Unusual Periodic

Information of disclosed content:

According to Circular 96/2020/TT-BTC on providing guidance on information disclosure on securities market, PHFM sincerely discloses the contents passed by the 2022 1st Extraordinary General Meeting of Shareholders, as follows:

1. Meeting Minutes of the 2022 1st Extraordinary General Meeting of Shareholders No. 01/2022/BB-ĐHĐCĐ dated January 20th, 2022;
2. Resolution of the 2022 1st Extraordinary General Meeting of Shareholders No. 01/2022/NQ-ĐHĐCĐ dated January 20th, 2022.

These information is disclosed on PHFM's website at: <http://phfm.vn/>, tab Investor Relations and section Announcement.

We undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

Attachments:

- Meeting Minutes of the 2022 1st EGMS No. 01/2022/BB-ĐHĐCĐ dated January 20th, 2022;
- Resolution of the 2022 1st EGMS No. 01/2022/NQ-ĐHĐCĐ dated January 20th, 2022.

Recipient:

- As above;
- Archive Company Secretariat.



MR. LU, HUI-HUNG

Legal representative authorized by the Legal representative

No.: 01/2022/BB-ĐHĐCĐ

Ho Chi Minh City, January 20th, 2022

MEETING MINUTES

THE 2022 1ST EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY

Meeting Minutes (the “**Minutes**”) of the 2022 1st Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company (the “**Company**”) is made on January 20th, 2022. Details are as follows:

Company name: Phu Hung Fund Management Joint Stock Company (“PHFM”)

License for establishment and operation: License No. 24/UBCK-GP issued by SSC on December 28th, 2007;
Latest adjustment license No. 98/GPĐC-UBCK issued by SSC on November 2nd, 2021.

Head Office address: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City.

Meeting time: Starting at 10:00 AM, January 20th, 2022
Closing at 11:00 AM, January 20th, 2022

Venue: Unit 4, 21st Floor, Phu My Hung Tower, No.8 Hoang Van Thai Street, Quarter 1, Tan Phu Ward, District 7, Ho Chi Minh City.

Agenda: According to the Agenda of the 2022 1st Extraordinary General Meeting of Shareholders, which has been notified to all shareholders.

Meeting Chairperson: Mr. Albert Kwang-Chin Ting – Chairman of B.O.D. of PHFM

Meeting Secretariat: Ms. Pham Thi Thanh Ngan – Head of Secretariat

Scrutiny Team: Ms. Nguyen Hai Linh – Head of Scrutiny Team

Attendees:

- Mr. Albert Kwang-Chin Ting – Shareholder of PHFM
- Mr. Ting, Kwang Hung – Shareholder of PHFM
- Ms. Chen, Hsiao-Fan – Shareholder of PHFM

Number of shareholders present: 03 shareholders, accounting for 100% of the voting shares; the General Meeting of Shareholders is thereby proceeded in compliance with the provisions of law.

I. MEETING OPENING PROCEDURE

1. Report on the result of shareholder status verification to attend the Meeting

Ms. Pham Thi Thanh Ngan reported on the result of shareholder status verification to attend the Meeting, specifically: Till 10:00 AM, January 20th, 2022, total number of attending shareholders was 03 shareholders, representing for 3,900,000 shares, accounting for 100% of the voting shares, eligible to proceed the General Meeting of Shareholders in accordance with Decree 155/2020/NĐ-CP and Company Charter.

2. Pass the Meeting Chairperson, the Secretariat and Scrutiny Team

Ms. Pham Thi Thanh Ngan introduced the Meeting Chairperson, the Secretariat and Scrutiny Team, specifically as below:

- Meeting Chairperson: Mr. Albert Kwang-Chin Ting – Chairman of B.O.D. of PHFM
- Meeting Secretariat: Ms. Pham Thi Thanh Ngan – Head of Secretariat
- Scrutiny Team: Ms. Nguyen Hai Linh – Head of Scrutiny Team

The Meeting voted to pass the Meeting Chairperson, the Secretariat and Scrutiny Team with the approval ratio of 100%.

3. Mr. Albert Kwang-Chin Ting delivered the opening speech of the Meeting.
4. Pass the Agenda and the Voting guidelines at the Meeting

Mr. Albert Kwang-Chin Ting presented the Agenda and the Voting guidelines at the Meeting (*details as the attachment*).

The Meeting voted to pass the Agenda and the Voting guidelines at the Meeting with the approval ratio of 100%.

II. MEETING CONTENTS

Mr. Albert Kwang-Chin Ting chaired the Meeting and confirmed that the Meeting was duly convened in accordance with Decree 155/2020/NĐ-CP and Company Charter.

1. **Mr. Albert Kwang-Chin Ting presented the Submission to pass the Revised Charter of Phu Hung Fund Management Joint Stock Company.**
2. **Pass the Revised Charter of Phu Hung Fund Management Joint Stock Company**

Voting ratio:

- Agree: 100%
- Disagree: 0%
- Abstain: 0%

Conclusion: The 2022 1st Extraordinary General Meeting of Shareholders passed the Revised Charter of Phu Hung Fund Management Joint Stock Company with the approval ratio of 100%.

III. MEETING CLOSING PROCEDURE

Pass the Minutes and Resolution of the 2022 1st Extraordinary General Meeting of Shareholders

Ms. Pham Thi Thanh Ngan delivered the Minutes and Resolution of the Meeting.

Voting ratio:

- Agree: 100%
- Disagree: 0%
- Abstain: 0%

Conclusion: With the approval ratio of 100%, the whole Meeting passed the Minutes and Resolution of the 2022 1st Extraordinary General Meeting of Shareholders.

The 2022 1st Extraordinary General Meeting of Shareholders of Phu Hung Fund Management Joint Stock Company closed at 11:00 AM on the same day.

This Meeting Minutes is made in one (01) original in English and one (01) original in Vietnamese with the same legal validity and is filed at the Company Secretariat of the Head Office.

MEETING SECRETARY



PHAM THI THANH NGAN

MEETING CHAIRPERSON



ALBERT KWANG-CHIN TING



No.: 01/2022/NQ-ĐHĐCĐ

Ho Chi Minh City, January 20th, 2022

RESOLUTION

THE 2022 1ST EXTRAORDINARY GENERAL MEETING OF SHAREHOLDERS PHU HUNG FUND MANAGEMENT JOINT STOCK COMPANY

- Pursuant to Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17th, 2020;
- Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on November 26th, 2019;
- Pursuant to the Charter of Phu Hung Fund Management Joint Stock Company (PHFM);
- Pursuant to Meeting Minutes of the 2022 1st Extraordinary General Meeting of Shareholders No. 01/2022/BB-ĐHĐCĐ dated on January 20th, 2022 of Phu Hung Fund Management Joint Stock Company.

RESOLVE

Article 1. To pass the Revised Charter of Phu Hung Fund Management Joint Stock Company

The Meeting pass the Revised Charter of Phu Hung Fund Management Joint Stock Company (Approval ratio: 100%).

Article 2. Organization and Implementation

The Board of Directors, Board of Supervisors, General Director, and all of the employees of Phu Hung Fund Management Joint Stock Company have the responsibility to implement this Resolution from the date of signing. This Resolution is effective from the signing date.

Recipients:

- As Article 2;
- Archived at CS.

FOR AND ON BEHALF OF G.M.S.

MEETING CHAIRPERSON



ALBERT KWANG-CHIN TING